

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION: Errol O. KENDALP et al.

GROUP ART UNIT: TBA

SERIAL NUMBER: 10/024,585

EXAMINER: TBA

FILED: December 21, 2001

FOR: SYSTEM FOR APPRAISING LIFE INSURANCE AND ANNUITIES

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. 1.97

Commissioner for Patents  
Washington, D.C. 20231

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Technology Center 2100

Sir:

Applicant(s) wish to disclose the following information.

REFERENCES

- ☒ The Applicant(s) wish to make of record the references listed on the attached Form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check is attached in the amount required under 37 CFR § 1.17(p).

RELATED CASES

- ☐ Attached is a list of applicant's pending applications or issued patents which may be related to the present application. A copy of the patent(s) is attached along with PTO 1449.
- ☐ A check is attached in the amount required under 37 CFR § 1.17(p).

CERTIFICATION

The undersigned certifies that

- ☐ each item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ no item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR § 1.56(c) more than three months prior to the filing of this statement.

PETITION

- ☐ Applicant(s) hereby request consideration of the attached information. A check is attached in the amount of the Petition fee required under 37 CFR § 1.17(i)(1).

DEPOSIT ACCOUNT

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to deposit account No. 50-0911. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

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PATENT TRADEMARK OFFICE

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| Form PTO 1449  |  | Attorney Docket No.<br>1986.004.00   |         | Application No.<br>10/024,585 |             |          |                               |
| U.S. DEPARTMENT OF COMMERCE<br>PATENT AND TRADEMARK OFFICE   |  |                                      |         |                               |             |          |                               |
| LIST OF REFERENCES CITED BY<br>APPLICANT<br>(Use Several Sheets if Necessary)  |  |                                      |         |                               |             |          |                               |
| Date: July 29, 2002  |  | Applicant<br>Errol O. Kendall et al. |         |                               |             |          |                               |
|  |  | Filing Date<br>December 21, 2001     |         | Group<br>TBA                  |             |          |                               |
| U.S. PATENT DOCUMENTS  |  |                                      |         |                               |             |          |                               |
| EXAMINER<br>INITIAL*   |  | DOCUMENT<br>NUMBER                   | DATE    | NAME                          | CLASS       | SUBCLASS | FILING DATE<br>IF APPROPRIATE |
|  |  | 2001/0023404<br>A1                   | 9/2001  | Ogawa et al.                  | 705         | 4        | February 28, 2001             |
|  |  | 6,332,129 B1                         | 12/2001 | Walker et al.                 | 705         | 26       | December 4, 1998              |
|  |  | 5,758,328                            | 5/1998  | Giovannoli                    | 705         | 26       | February 22, 1996             |
|  |  |                                      |         |                               |             |          |                               |
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|  |  |                                      |         |                               |             |          |                               |
| FOREIGN PATENT DOCUMENTS   |  |                                      |         |                               |             |          |                               |
|  |  | DOCUMENT<br>NUMBER                   | DATE    | COUNTRY                       | TRANSLATION |          |                               |
|  |  |                                      |         |                               | YES         | NO       |                               |
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|  |  |                                      |         |                               |             |          |                               |
|  |  |                                      |         |                               |             |          |                               |
| OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)  |  |                                      |         |                               |             |          |                               |
|  |  |                                      |         |                               |             |          |                               |
|  |  |                                      |         |                               |             |          |                               |
|  |  |                                      |         |                               |             |          |                               |
| EXAMINER   |  | DATE CONSIDERED                      |         |                               |             |          |                               |
| *EXAMINER: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. |  |                                      |         |                               |             |          |                               |
| **English-language abstract provided.  |  |                                      |         |                               |             |          |                               |